

Form PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 66766-Y-PCT- US/JPW/GJG/ACK	Serial No. 09/903,101
		Applicants: Francis G. Fang and Shiping Xie	
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**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	HU	P9 4 0 3 6 3 8	11/28/95	Hungary (Exhibit 1);				
	JP	04 1 3 9 1 8 8	5/13/92	Japan (Exhibit 7);				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	Hertzberg, R.P. (1989) "Modification of the Hydroxy Lactone Ring in Camptothecin" <u>J. Med. Chem.</u> 32(3): 715-720 (Exhibit 2);
	<u>Chemical Abstracts Registry Handbook - Number Section</u> (1992) "See Compound with rn=143490-55-5" pp. 4277ru (Exhibit 3);
	S. Sawada, et al., (1992) "Preparation of Camptothecin Derivatives as Anti-tumor Agents" <u>Chem. Abs.</u> 117(17):882 (Exhibit 4);
	Fang, F.W. et al. (1994) "Catalytic Enantioselective Synthesis of 20(S)-Camptothecin: A Practical Application of the Sharpless Asymmetric Dihydroxylation Reaction" <u>J. Org. Chem.</u> 59(21):6142-6143 (Exhibit 5);
	Krohn, K. et al., (1975) "Alkylierung Von Camptothecin-Vorstufen" <u>Chemische Berichte</u> 108:3030-3042 (Exhibit 6).

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.